



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 2

Complete if Known

Application Number	09/815,824
Filing Date	March 22, 2001
First Named Inventor	Karpoff, Wayne T.
Art Unit	2184
Examiner Name	Unassigned
Attorney Docket Number	019714-000120US

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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
JM	AA	Pub. No.: US-2001/0049740 A1	12-06-2001	Karpoff	
JM	AB	US-5,657,488	08-12-1997	Stallmo et al.	
JM	AC	US-5,875,456	02-23-1999	Stallmo et al.	
JM	AD	US-6,052,759	04-18-2000	Stallmo et al.	
JM	AE	US-6,085,234	07/04/2000	Pitts et al.	
JM	AF	US-5,611,049	03/11/1997	Pitts	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
JM	AG	WO	02/08899	A2	01-31-2002	Bopardiker et al.		
JM	AH	WO	02/13033	A1	02-14-2002	Singhal et al.		

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete if Known

Application Number 09/815,824
Filing Date March 22, 2001
First Named Inventor Karpoff, Wayne T.
Art Unit 2184
Examiner Name Unassigned
Attorney Docket Number 019417-000120US

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JM	AI	"Storage Virtualization", Storage Virtualization Brief, <u>VERITAS Software Corporation</u> , pp. 1-19 (April 2001).	
JM	AJ	"VERITAS SANPoint Foundation Suite HA Sharing Data in Storage Area Networks," <u>VERITAS Software Corporation</u> , pp. 1-8 (Jan. 2001).	
JM	AK	Sosinsky, B., "The Business Value of Virtual Volume Management", <u>VERITAS Software Corporation</u> , pp. 1-12 (Oct. 2001).	
JM	AL	Winett, B., "Silicon Storage Appliances Implementation Advantage, <u>DataDirect Networks, Inc.</u> , pp. 1-13 (June 2001, Rev. Nov. 30, 2001).	
JM	AM	Woolery, R., "DataDirect Networks' Silicon Storage Appliance Enabling Storage Networks," <u>DataDirect Networks, Inc.</u> , pp. 1-10.	

Examiner
Signature

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Date
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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Complete if Known

Application Number	09/815,824
Filing Date	March 22, 2001
First Named Inventor	Karpoff, Wayne T.
Art Unit	2184
Examiner Name	Wiley, David A.
Attorney Docket Number	019417-000210US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
W	1	US-6,246,829 B1	06-05-2001	Chan	
W	2	US-6,138,247 A	10-24-2000	McKay et al.	
W	3	US-5,862,312 A	01-19-1999	Mann et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
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FORM PTO-1449 (Modified)			Attorney Docket No.: 19417-000210US		Application No.: Unassigned	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Wayne T. Karpoff			
			Filing Date: Herewith		Group: Unassigned	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
JM AA	6,148,414	11/14/00	Brown et al.	714	9	03/03/99
JM AB	5,758,085	05/26/98	Kouheris et al.	395	200.61	01/08/97
AC						
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FOREIGN PATENT DOCUMENTS						
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
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AT						
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EXAMINER	DATE CONSIDERED 6/2/01					

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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First Named Invent r	Karpoff, Wayne T.
Group Art Unit	2184
Examiner Name	Not Yet Assigned
Attorney Docket Number	019417-000210US

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		Number	Kind Code ² (if known)			
JM	1	6,112,206		Morris et al.	08/29/00	
JM	2	6,192,411	B1	Chan et al.	02/20/01	
JM	3	6,151,297		Congdon et al.	11/21/00	
JM	4	6,148,414		Brown et al.	11/14/00	
JM	5	6,216,173	B1	Jone et al.	04/10/01	

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FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner
Signature

J. Karpoff

Date
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